

Dear Board Members:

Below is a register of persons or firms (the "Register") that have matters listed on the February 17, 2016, NTTA Board of Directors meeting agenda. Also, if applicable, the Register identifies real estate about which the Board will take action this month. If you or one of your family members<sup>1</sup> has:

1. **stock or another equity ownership interest in a firm listed on the Register**, please refer to Part 1 below;
2. **an ownership interest in real estate listed on the Register**, please refer to Part 2 below.
3. **received in the last 12 months income from a person or firm listed on the Register**; please refer to Part 3 below; and
4. **received in the last 12 months a gift or gifts from a person or firm listed on the Register**; please refer to Part 4 below.

If any of the foregoing circumstances exist with regard to more than one person or firm or more than one parcel of property listed on the Register, please note each person, firm, or property in the following charts. In addition, in Part 5 you should describe the basis for your abstention in order to avoid an apparent conflict of interest or an appearance of impropriety pursuant to section III.F of the Board Ethics Policy.

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**Register of persons, firms, and properties having matters listed on the agenda  
for the meeting of the NTTA Board of Directors on February 17, 2016**

Persons or Firms

<u>HNTB Corporation</u>	<u>First Southwest Company</u>
<u>RBC Capital Markets</u>	<u>CDM Smith, Inc.</u>
<u>C&amp;M Associates, Inc.</u>	<u>Bridgefamer and Associates</u>
<u>SHI Government Solutions, Inc.</u>	<u>Microsoft Licensing, General Partnership</u>
<u>City of Fort Worth</u>	<u>TxDOT</u>

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<sup>1</sup> Family members are your spouse, domestic partner, children, stepchildren, sons-in-law, daughters-in-law, mother, father, mother-in-law, and father-in-law. Also, any former spouse is deemed a family member if a child of that union is living, and the mother or father of a deceased or divorced spouse is deemed a family member if you and your deceased or divorced spouse had child that is now living.

**Part 1. Ownership in firms doing business with the NTTA.**

If you or a family member own:

- a. 10% or more of the **voting stock or other equity ownership interest** of any firm listed on the Register; or
- b. 10% or more of the **fair market value** of any firm listed on the Register; or
- c. \$15,000 or more of the **fair market value** of any firm listed on the Register,

please complete the following **and execute the affidavit on the last page of this form.**

The firms' names: _____		
Owner's name	Owner's family relationship with Director	State the percentage of ownership in the firm (stock, other equity ownership, or fair market value) or the dollar value of ownership in the firm
1. _____ The Director (check if applicable)	Self	
2.		
3.		
[please attach additional sheets as needed]		
<b>You may <u>not</u> participate in any Board deliberations, discussions, or votes concerning an NTTA matter involving the firm identified above.</b>		

**Part 2. Ownership of real estate.**

If you or a family member own an interest in **real property** listed on the Register and your interest has a **fair market value of \$2,500 or more**, please complete the following **and execute the affidavit on the last page of this form.**

The name, address, or location of the real property: _____		
Owner's name	Owner's family relationship with Director	Estimated fair-market value of the owner's interest in the property
1. _____ The Director (check if applicable)	Self	
2.		
3.		
[please attach additional sheets as needed]		
<b>You may <u>not</u> participate in any Board deliberations, discussions, or votes concerning an NTTA matter involving the property identified above.</b>		

**Part 3. Income from a listed person or firm.**

If you or a family member and a person or firm listed on the Register have an **employment or business relationship** that results in you or that family member **receiving gross income** from the person or firm, please complete the following (attach additional sheets if needed) **and execute the affidavit on the last page of this form.**

The persons' or firms' names: <u>BANK of AMERICA</u>		
Recipient's name	Recipient's family relationship with Director	State the nature and extent of the recipient's relationship with the person or firm and the approximate percentage of income received from the person or firm during preceding 12 months
1. <input type="checkbox"/> The Director (check if applicable)	Self	
2. <u>RITA QUESADA-TRIGERS</u>	<u>SISTER</u>	<u>Employee of Bank of America, 100% of income.</u>
3.		
[please attach additional sheets as needed]		
<p><b>If in the last 12 months, you or a family member <u>received more than 10% of your (or the family member's) gross income</u> from the person or firm named above, <u>you may not</u> participate in any Board deliberations, discussions, or votes concerning an NTTA matter involving that person or firm.</b></p> <p><b>If you or a family member <u>did not receive more than 10% of your (or the family member's) gross income</u> from that person or firm, <u>you are not disqualified</u> from participating in Board deliberations, discussions, or votes concerning an NTTA matter involving that person or firm but you should consider whether to abstain pursuant to Part 5 below.</b></p>		

**Part 4. Disclosure of Gifts.**

If in the last 12 months you or a family member **received gifts with an aggregate value of more than \$250** from a person or firm listed on the Register, please complete the following (attach additional sheets if needed) and **execute the affidavit on the last page of this form.**

Please note that gifts may include, but are not limited to, personal entertainment and related expenses, personal travel expenses, medical expenses, legal, accounting and other professional fees for matters unrelated to the NTTA's business, and use of the person's or firm's property or staff for personal purposes, etc. You may exclude gifts (1) given by a family member of the recipient of the gift; (2) a political contribution; or (3) food, lodging, transportation, or entertainment accepted as a guest. To satisfy the requirements of the "guest exception," the donor must have been present when the benefit was conferred on the "guest."

The persons' or firms' names:		
The recipient's name	Recipient's relationship with Director	State the date the gift was accepted and describe the gift.
1. _____ The Director (check if applicable)	Self	Date accepted: Description:
2.		Date accepted: Description:
3.		Date accepted: Description:
[please attach additional sheets as needed]		
<p><b>Receipt of gifts by you or a family member from the person or firm name above, does <u>not</u> disqualify you from participating in Board deliberations, discussions, or votes concerning an NTTA matter involving that person or firm. Disclosure of the receipt is all that is required but you should consider whether to abstain pursuant to Part 5 below.</b></p>		

**Part 5. Abstention: Apparent Conflict/Appearance of Impropriety**

Section III.F of the Board Ethics Policy provides as follows:

***F. Apparent conflict of interest/Appearance of impropriety.** An apparent conflict of interest exists when a reasonable person would believe that a Director's private interest (financial, personal or otherwise) might cause the Director to perform his or her duties in a way other than they would have been performed if the Director had no such private interest. A Director with an apparent conflict of interest shall abstain from participation in that matter and note the basis of that abstention in an affidavit on a form approved by the NTTA filed before the Board takes a vote or other action on the matter. A Director who reasonably believes that his or her involvement in a vote or other action by the Board may give rise to an appearance of impropriety shall abstain from participation in that matter and submit an affidavit in the same manner.*

Please describe below any apparent conflict of interest and appearance of impropriety that you wish to avoid through abstention:

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Please complete this affidavit if you entered any information in the Parts above.

**AFFIDAVIT**

I swear under penalty of perjury that the information set forth above is true, correct, and complete. I acknowledge that the disclosure of the information applies to each family member (as defined by § 176.001(2) of the Local Government Code) of the undersigned Director. I also acknowledge that these statements cover the 12-month period described by § 176.003(a) of the Local Government Code.

Dated: 2/17/, 20 16

[Signature]  
Signature of Director  
George (Tex) Quesada  
Print Name

Sworn to and subscribed before me, by the said George (Tex) Quesada, this the 17 day of FEBRUARY, 20 16 to certify which, witness my hand and seal of office.

[Signature]  
Signature of officer administering oath

Dana L. Chandler  
Printed name of officer administering oath

Paralegal  
Title of officer administering oath

**AFFIX NOTARY STAMP / SEAL**

