

Receipt Record

RFQ # 03443-NTT-00-CS-FI
REQUEST FOR QUALIFICATIONS FOR
Qualified Financial Institutions Pool

Firm	Date Received	Time	Buyer Initials
Gilford Securities Inc.	9/27/2012	1:39 pm	RS
Coastal Securities	10/4/2012	11:54 am	RS
Robert W. Baird & Company	10/8/2012	10:48 am	RS
Great Pacific Securities	10/10/2012	9:58 am	RS
CRT Capital Group	10/10/2012	10:43 am	RS
Duncan Williams	10/10/2012	12:53 pm	RS
Morgan Keegan & Co., Inc.	10/11/2012	7:51 am	RS
FTN Financial	10/11/2012	10:09 am	RS
Alamo Capital	10/11/2012	10:09 am	RS
Frost Bank	10/11/2012	10:09 am	RS
Wells Fargo Securities	10/11/2012	12:12 pm	RS
Morgan Stanley	10/12/2012	10:15 am	RS
Bank of America	10/12/2012	10:15 am	RS
Mizuho Securities	10/12/2012	10:16 am	RS
First Southwest Company	10/12/2012	10:16 am	RS
Carty & Company	10/12/2012	10:16 am	RS

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Loop Capital Markets	10/12/2012	10:16 am	RS
Samuel A. Ramirez & Company	10/12/2012	10:17 am	RS
Williams Capital Group	10/12/2012	10:17 am	RS
Rice Financial Products	10/12/2012	10:17 am	RS
Drexel Hamilton	10/12/2012	10:17 am	RS
Bok Financial	10/12/2012	10:43 am	RS
Amegy Bank	10/12/2012	12:00 noon	RS
UBS	10/12/2012	2:22 pm	RS
Shay Financial Services, Inc.	10/12/2012	2:40 pm	RS
Cantor Fitzgerald	10/12/2012	3:54 pm	RS