



GOOD FAITH EFFORT FORM

If you have failed to secure D/M/WBE participation and you have subcontracting and/or supplier opportunities or if you're D/M/WBE participation is less than the NTTA's project goal, you **must** complete this form.

Prime Company Name (please print):	NTTA Contract Number:
	NTTA Contract Goal: _____ %
Check applicable response to describe prime:	D/M/WBE:
	(yes ___) Certifying Entity: _____ (no ___)

If the bidder's method of compliance with the D/M/WBE goal is based upon demonstration of a "good faith effort", the bidder will have the burden of correctly and accurately preparing and submitting the documentation required by the NTTA. Compliance with items 1 through 6 as it appears on the Good Faith Effort Form, in its entirety shall satisfy the good faith effort requirement upon verification from Business Diversity staff and confirmation of no counterfeit information, intentional and/or knowing misrepresentation of facts or intentional discrimination by the contractor.

Failure to complete this form, in its entirety with supporting documentation, and received by the Business Diversity Department, will result in the bid being considered non-responsive to bid specifications. Please list each and every subcontracting and/or supplier opportunity for the completion of this project, regardless of whether it is to be provided by a D/M/WBE or non-D/M/W/SBE. (DO NOT LIST NAMES OF FIRMS).

- Please list each and every (subcontracting and/or supplier opportunity) for the completion of this project, regardless of whether it is to be provided by a D/M/WBE or non D/M/WBE (DO NOT LIST NAMES OF FIRMS). **List opportunities only.**
(Use additional sheets, if necessary)

List of Subcontracting Opportunities:	List of Supplier Opportunities:

2. Did you obtain a current (**not more than 60 days old from the initial response to the NTTA's solicitation due date**) list of D/M/WBE subcontracts and/or suppliers from the NTTA's Business Diversity Department?

(yes ___) (no ___)

D/M/WBE listing request date to the NTTA's Business Diversity Department: _____/_____/20____

3. Did you solicit bids from D/M/WBE firms, within the subcontracting and/or supplier areas previously listed by mail?

(yes ___) (no ___)

Attach the D/M/WBE mail listing including a dated copy of the letter mailed, or email correspondence showing proof of solicitation to D/M/WBE firms. **If you did not fulfill, please write the following statement: "I did not comply"**

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4. Did you solicit bids from D/M/WBE firms within the subcontracting and/or supplier areas previously listed by telephone?

(yes ___) (no ___)

Attach D/M/WBE contact list to include: D/M/WBE firm, person contacted, telephone number, date and time of contact. **If you did not fulfill, please write the following statement: "I did not comply"**

NOTE: A facsimile may be used to comply with either 3 or 4 but may **not** be used for both. If a facsimile is used, attach the fax confirmation, which is to provide: D/M/WBE name, date, time, fax number and documentation faxed.

NOTE: If the list of D/M/WBE firms for a particular subcontracting/supplier opportunity is ten (10) or less, the contractor must contact the entire list to be in compliance with item 3 and 4. If the list of D/M/WBE firms for a particular subcontracting/supplier opportunity is ten (10) or more, the contractor must contact at least two-thirds (2/3) of the list within such area of opportunity, but not less than ten to be in compliance with items 3 and 4.

5. Did you provide plans and specifications to potential D/M/WBE firms or information regarding the location of plans and specifications in order to assist the D/M/WBE firms?

(yes ___) (no ___)

6. Submit documentation if D/M/WBE firms were rejected. The documentation submitted should be in the form of an affidavit, include a detailed explanation of why the D/M/WBE firms were rejected and any supporting documentation the contractor wishes to be considered by the NTTA. In the event of an actual dispute concerning quotes, the contractor will provide for confidential access to and inspection of any relevant documentation by NTTA Legal personnel. **(Please use additional sheets, if necessary and attach)**

Company Name	Telephone	Contact Person	Scope of Work	Reason for Rejection

ADDITIONAL INFORMATION:

Please provide additional information you feel will further explain your good and honest efforts to obtain D/M/WBE participation on this project.

The bidder further agrees to provide, directly to the NTTA upon request, complete and accurate information regarding actual work performed on this contract, the payment thereof and any proposed changes to the original arrangements submitted with this bid. The bidder also agrees to allow an audit and/or examination of any books, records and files held by their company that will substantiate the actual work performed on this contract, by an authorized NTTA employee.

Any intentional and/or knowing misrepresentation of facts will be grounds for terminating the contract or debarment from NTTA work for a period of not less than three (3) years and for initiating action under laws concerning false statements. Any breach of contract may result in a determination of an irresponsible offeror and barred from participating in NTTA work for a period of time not less than one (1) year.

The undersigned certifies that the information provided and the listed was/were contacted in good faith. It is understood that any D/M/WBE firms listed on the Good Faith Effort Form will be contacted and the reasons for not using them will be verified by the NTTA's Business Diversity Department.

Authorized Signature:	Printed Signature:
Title:	Contact Name and Title (if different):
Company Name:	Phone Number:
Street Address:	Email Address:
City/County/State and Zip Code	Date: _____ , _____ 20 ____
Business Diversity Director Authorized Signature:	Date: _____ , _____ 20 ____

The NTTA maintains the information collected through this form. With few exceptions, upon request you may be informed of the information that is collected about you. Under Sections 552.021 and 552.023 of the Texas Government Code, you are permitted to receive and review the information. Under Section 559.004 of the Texas Government Code, you are also permitted to have the NTTA correct information about you that it is incorrect.

To ensure prompt and efficient handling of your project file, we are requesting that all commitments be presented to the NTTA's stakeholder using this form.