

Dear Board Members:

Below is a register (the "Register") of persons or firms (each, a "vendor") that have matters listed on the June 17, 2020, NTTA Board of Directors meeting agenda. Also, if applicable, the Register identifies real estate about which the Board will take action this month. If you or one of your family members<sup>1</sup> has:

1. **stock or another equity ownership interest in a vendor listed on the Register**, please refer to Part 1 below;
2. **an ownership interest in real estate listed on the Register**, please refer to Part 2 below.
3. **received in the last 12 months income from a vendor listed on the Register**; please refer to Part 3 below;
4. **received in the last 12 months a gift or gifts from a vendor listed on the Register**; please refer to Part 4 below.

If a "family relationship"<sup>2</sup> exists between you and a vendor or any employee or agent of that vendor, please refer to Part 5 below.

If any of the foregoing circumstances exist with regard to more than vendor or more than one parcel of property listed on the Register, please note each vendor or property in the following charts. In addition, in **Part 6** you should describe the basis for your abstention in order to avoid an apparent conflict of interest or an appearance of impropriety pursuant to section III. F of the Board Ethics Policy.

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**Register of vendors and properties having matters listed on the agenda  
for the meeting of the NTTA Board of Directors on June 17, 2020.**

**Vendors/Properties**

SAM-Construction Services, LLC

Webber, LLC

Gibson & Associates, Inc.

FNH Construction, LLC

Douglas Distributing

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<sup>1</sup> Family members are your spouse, domestic partner, children, stepchildren, sons-in-law, daughters-in-law, mother, father, mother-in-law, and father-in-law. Also, any former spouse is deemed a family member if a child of that union is living, and the mother or father of a deceased or divorced spouse is deemed a family member if you and your deceased or divorced spouse had child that is now living.

<sup>2</sup> A Director has a "family relationship" with everyone listed as a "family member" in footnote 1, as well as the Director's brother, sister, brother-in-law, sister-in-law, aunt, uncle, niece, nephew, great grandchild, great grandparent, and the grandparent or grandchild of the Director's spouse.

**Part 1. Ownership in vendors doing business or seeking to do business with the NTTA.**

If you or a family member own:

- a. 10% or more of the **voting stock or other equity ownership interest** of any vendor listed on the Register; or
- b. 10% or more of the **fair market value** of any vendor listed on the Register; or
- c. \$15,000 or more of the **fair market value** of any vendor listed on the Register,

please complete the following **and execute the affidavit on the last page of this form.**

The vendors' names: _____		
Owner's name	Owner's family relationship with Director	State the percentage of ownership in the vendor (stock, other equity ownership, or fair market value) or the dollar value of ownership in the vendor
1. _____ The Director (check if applicable)	Self	
2.		
3.		
[please attach additional sheets as needed]		
<p><b>You may <u>not</u> participate in any Board deliberations, discussions, or votes concerning an NTTA matter involving the vendor identified above.</b></p>		

**Part 2. Ownership of real estate.**

If you or a family member own an interest in **real property** listed on the Register and your interest has a **fair market value of \$2,500 or more**, please complete the following **and execute the affidavit on the last page of this form.**

The name, address, or location of the real property: _____		
Owner's name	Owner's family relationship with Director	Estimated fair-market value of the owner's interest in the property
1. _____ The Director (check if applicable)	Self	
2.		
3.		
[please attach additional sheets as needed]		
<p><b>You may <u>not</u> participate in any Board deliberations, discussions, or votes concerning an NTTA matter involving the property identified above.</b></p>		

**Part 3. Income from a listed vendor.**

If you or a family member and a vendor listed on the Register have an employment or business relationship that results in you or that family member receiving gross income from the vendor, please complete the following (attach additional sheets if needed) and execute the affidavit on the last page of this form.

The vendors' names: <u>DOUGLAS DISTRIBUTING</u>		
Recipient's name	Recipient's family relationship with Director	State the nature and extent of the recipient's relationship with the vendor and the approximate percentage of income received from the vendor during preceding 12 months
1. <input checked="" type="checkbox"/> The Director (check if applicable)	Self	BARB CATTLE, LLC PURCHASES FROM VENDOR. NT LOGISTICS HIRES THIS VENDOR AND HALLS FOR THEM Percentage of Income = 00005%
2.		
3.		
[please attach additional sheets as needed]		
<p><b>If as the result of employment or other business relationship with the vendor, you or a family member received taxable income, other than investment income, that exceeds \$2,500 during the 12 months preceding the date you became aware the vendor has or is seeking a contract with NTTA, you must complete the form above and the affidavit on the last page.</b></p> <p><b>Also, if in the last 12 months, you or a family member <u>received more than 10% of your (or the family member's) gross income</u> from vendor named above, <u>you may not participate in any Board deliberations, discussions, or votes concerning an NTTA matter involving that vendor.</u> If you or a family member <u>did not receive more than 10% of your (or the family member's) gross income</u> from that vendor, <u>you are not disqualified</u> from participating in Board deliberations, discussions, or votes concerning an NTTA matter involving that vendor, and you should consider whether to abstain pursuant to Part 6 below.</b></p>		

**Part 4. Disclosure of Gifts.**

If in the last 12 months you or a family member **received gifts with an aggregate value of more than \$100** from a vendor listed on the Register, please complete the following (attach additional sheets if needed) and **execute the affidavit on the last page of this form.**

Please note that gifts may include, but are not limited to, personal entertainment and related expenses, personal travel expenses, medical expenses, legal, accounting and other professional fees for matters unrelated to the NTTA's business, and use of the vendor's property or staff for personal purposes, etc. You may exclude gifts (1) given by a family member of the recipient of the gift; (2) a political contribution; or (3) food accepted as a guest. To satisfy the requirements of the "guest exception," the donor must have been present when the benefit was conferred on the "guest."

The vendors' names:		
The recipient's name	Recipient's relationship with Director	State when the gift was accepted, and describe the gift.
1. _____ The Director (check if applicable)	Self	Date accepted: Description:
2.		Date accepted: Description:
3.		Date accepted: Description:
[please attach additional sheets as needed]		
<p><b>Receipt of gifts by you or a family member from the vendor above, does <u>not</u> disqualify you from participating in Board deliberations, discussions, or votes concerning an NTTA matter involving that vendor. Disclosure of the receipt is all that is required, but you should consider whether to abstain pursuant to Part 6 below.</b></p>		

**Part 5. Disclosure of family relationships with vendors doing business with the NTTA.**

If any of the following family relationships exist between (a) you and (b)(i) a vendor listed on the Register or (ii) an owner, employee, or agent of such a vendor, please complete the following and execute the affidavit on the last page of this form.

1. The vendor's name: \_\_\_\_\_

The vendor's owner, employee, or agent with whom you have a family relationship:  
\_\_\_\_\_

The person listed above is your [check applicable relationship]:

- |  |   |   |
|--|---|---|
| <input type="checkbox"/> Spouse/Domestic partner | <input type="checkbox"/> Mother-in-law  | <input type="checkbox"/> Niece                |
| <input type="checkbox"/> Child                   | <input type="checkbox"/> Father-in-law  | <input type="checkbox"/> Nephew               |
| <input type="checkbox"/> Step-child              | <input type="checkbox"/> Sibling        | <input type="checkbox"/> Great grandchild     |
| <input type="checkbox"/> Parent                  | <input type="checkbox"/> Sister-in-law  | <input type="checkbox"/> Great grandparent    |
| <input type="checkbox"/> Daughter-in-law         | <input type="checkbox"/> Brother-in-law | <input type="checkbox"/> Spouse's grandchild  |
| <input type="checkbox"/> Son-in-law              | <input type="checkbox"/> Aunt           | <input type="checkbox"/> Spouse's grandparent |
|  | <input type="checkbox"/> Uncle          |   |

Existence of a family relationship does not disqualify you from participating in Board deliberations, discussions, or votes; disclosure of the relationship is all that is required. But you may nonetheless consider whether abstention is appropriate under Part 6 below. **However, if you are disqualified under Parts 1, 2, or 3, you may not participate** in any Board deliberations, discussions, or votes concerning an NTTA matter involving a vendor listed in those parts.

[please attach additional sheets as needed]

**Part 6. Abstention: Apparent Conflict/Appearance of Impropriety**

Section III.F of the Board Ethics Policy provides as follows:

*F. Apparent conflict of interest/Appearance of impropriety. An apparent conflict of interest exists when a reasonable person would believe that a Director's private interest (financial, personal or otherwise) might cause the Director to perform his or her duties in a way other than they would have been performed if the Director had no such private interest. A Director with an apparent conflict of interest shall abstain from participation in that matter and note the basis of that abstention in an affidavit on a form approved by the NTTA filed before the Board takes a vote or other action on the matter. A Director who reasonably believes that his or her involvement in a vote or other action by the Board may give rise to an appearance of impropriety shall abstain from participation in that matter and submit an affidavit in the same manner.*

Please describe below any apparent conflict of interest and appearance of impropriety that you wish to avoid through abstention (and attach additional sheets as needed):

**AFFIDAVIT**

**Please complete this affidavit if you entered any information in the Parts above.**

I swear under penalty of perjury that the information set forth above is true, correct, and complete. I acknowledge that the disclosure of the information applies to each family member (as defined by § 176.001(2) of the Local Government Code) of the undersigned Director. I also acknowledge that these statements cover the 12-month period described by § 176.003(a) of the Local Government Code.

Dated: July 8, 2020

[Signature]  
Signature of Director  
ALYNN GRAYLEY  
Print Name

Sworn to and subscribed before me, by the said F Lynn Grayley, this the 8 day of July 2020 to certify which, witness my hand and seal of office.

[Signature]  
Signature of officer administering oath

Beverly Cole  
Printed name of officer administering oath

Notary  
Title of officer administering oath

**AFFIX NOTARY STAMP / SEAL**

